



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US91/01646 <b>(22) International Filing Date:</b> 12 March 1991 (12.03.91) <b>(30) Priority data:</b> 498,243 15 March 1990 (15.03.90) US 606,656 31 October 1990 (31.10.90) US 658,784 26 February 1991 (26.02.91) US 664,806 8 March 1991 (08.03.91) US <b>(71) Applicant:</b> THE NUTRASWEET COMPANY [US/US]; 1751 Lake Cook Road, Box 730, Deerfield, IL 60015 (US). <b>(72) Inventors:</b> HILL, John, B. ; 17819 Garden Valley, Woodstock, IL 60098 (US). HO, Tse-Lok ; 4312 Ivy Lane, Glenview, IL 60025 (US). JOHNSON, Mark, R. ; 17482 W. Windslow, Grayslake, IL 60030 (US). KLIX, Russell ; 841 Westbourne Lane, Buffalo Grove, IL 60089 (US). WEBBER, Gayle ; 2026 Harrison Street, Evanston, IL 60201 (US). ERICKSON, Robert, A. ; 266 S. Warrington Road, Des Plaines, IL 60016 (US).		<b>(74) Agent:</b> SOLOMON, Andrew, M.; The NutraSweet Company, 1751 Lake Cook Road, Box 730, Deerfield, IL 60015 (US). <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), BG, BR, CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, PL, RO, SE (European patent), SU. <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> PROCESS FOR MANUFACTURING ASPARTAME FROM A DIKETOPIPERAZINE AND NOVEL INTERMEDIATES AND DERIVATIVES THEREFOR  <b>(57) Abstract</b>  This invention relates to a process for manufacturing $\alpha$ -L-aspartyl-L-phenylalanine methyl ester (" $\alpha$ -APM") by use of 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid ("AP-DKP") or methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate ("MAP-DKP") or respective 1-acyl derivatives thereof ("1-acyl AP-DKP" and "1-acyl MAP-DKP") and novel intermediates produced therein.		

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PROCESS FOR MANUFACTURING ASPARTAME FROM A DIKETOPIPERAZINE AND  
NOVEL INTERMEDIATES AND DERIVATIVES THEREFOR

Background of the Invention

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1. Field of the Invention

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This invention relates to a process for manufacturing aspartame ( $\alpha$ -L-aspartyl-L-phenylalanine methyl ester (" $\alpha$ -APM")) from a diketopiperazine ("DKP") as well as novel intermediates produced in the process. This invention particularly relates to a process for manufacturing  $\alpha$ -APM by use of 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid ("1-acyl AP-DKP") or methyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate ("1-acyl MAP-DKP"). By utilizing the present process,  $\alpha$ -APM is manufactured without the use of L-phenylalanine or its methyl ester as a starting material.

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2. Description of the Prior Art

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$\alpha$ -APM is a compound used as a sweetening agent. It is typically manufactured by processes which employ L-phenylalanine or L-phenylalanine methyl ester as one of the starting coupling materials, which are major cost factors in the manufacturing process.

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One attempt to produce  $\alpha$ -APM without using L-phenylalanine methyl ester is found in U.S. Patent No. 4780561 to Mita et al. The patent teaches a process by which 5-benzyl-3,6-dioxo-2-piperazine acetic acid ("AP-DKP") or its methyl ester is contacted with hydrochloric acid to produce  $\alpha$ -L-aspartyl-L-phenylalanine methyl ester hydrochloride, which is neutralized to produce  $\alpha$ -APM. The 5-benzyl-3,6-dioxo-2-piperazine acetic acid is prepared by the deformylation and diesterification of N-f rmyl- $\alpha$ -L-aspartyl-L-phenylalanine in methanol in the presence of an acid to form  $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester.

The dimethyl ester is then treated under neutral or slightly alkaline conditions to form methyl 5-benzyl-3,6-dioxo-2-piperazine acetate ("MAP-DKP"). The MAP-DKP is then treated with an aqueous alkaline solution to form the AP-DKP. This process  
5 still requires L-phenylalanine as a raw material, which adds greatly to the amount of the process costs.

U.S. Patent No. 4634790 to Shinohara et al discloses a process for producing  $\alpha$ -APM or its hydrohalide by subjecting  
10 3-benzyl-6-carboxymethyl-2,5-diketopiperazine (" $\alpha$ -AP-DKP") to partial hydrolysis with a strong acid in a solvent mixture of methanol and water. The  $\alpha$ -AP-DKP is typically obtained as a by-product formed during commercial production of  $\alpha$ -APM.

15 Japan Published Application No. 01-100161 discloses 5-benzyl-3,6-dioxo-2-piperazine acetic acid (AP-DKP) and its derivatives. The compounds are prepared by contacting  $C_6H_5CH_2CH(NH_2)CONHCH(CO_2R_1)CH_2CO_2R_2$  ( $R_1=C_1-C_4$  alkyl,  $R_2=H, C_1-C_4$  alkyl) with aqueous solutions or  $H_2O$ -organic solvent mixtures  
20 whose pH is greater than or equal to 4.5. APM-HCl is produced by heating AP-DKP in methyl alcohol containing HCl.

Hubbs, in Research Disclosure 28136, published September 1987, discloses the synthesis of N-acetyl- $\alpha$ -L-aspartyl-L-  
25 phenylalanine methyl ester without using L-phenylalanine or its methyl ester as a starting material. The compound is converted to  $\alpha$ -L-aspartyl-L-phenylalanine, which can then be converted to aspartame.

30 U.S. Patent No. 4897507 to Takahashi et al discloses a method for producing  $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester by reacting 3-benzyl-6-carboxymethyl-2,5-diketopiperazine or its methyl ester in a methanolic solvent substantially free of water. The produced dimethyl ester is converted to  $\alpha$ -APM (hydrochloride  
35 salt) by reaction in an acidic aqueous methanolic solution.

It is desirable to produce  $\alpha$ -APM from diketopiperazines without requiring the use of L-phenylalanine or L-phenylalanine methyl ester as raw materials. The present invention teaches such a process.

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#### Summary of the Invention

This invention provides novel processes for the production of 1-acyl AP-DKP and 1-acyl MAP-DKP, which in turn can easily be converted to  $\alpha$ -APM. These compositions are produced without using L-phenylalanine or its methyl ester in the synthesis. In carrying out the processes of the present invention, the following novel intermediate compounds and classes of intermediate compounds are formed:

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1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid

alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate

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1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid

alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate

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5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid

alkyl 5-benzylidene-3,6-dioxopiperazine-2(S)-acetate

alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.

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1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetic acid

alkyl 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate

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N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester

In accordance with one embodiment, the present invention provides a process for producing aspartame ( $\alpha$ -APM) comprising the steps in the order of :

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(a) adding one or more acylating agents to 3,6-dioxopiperazine-2(S)-acetic acid to form a 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid compound;

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(b) adding benzaldehyde to said 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid compound in the presence of a base to form a 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid compound;

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(c) hydrogenating said 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid compound to produce a 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid compound; and

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(d) partially hydrolyzing said 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid compound to produce  $\alpha$ -APM or a salt thereof.

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In the above-described products and processes; the acyl groups are of the formula  $RC(=O)-$  wherein R represents hydrogen or a straight chain, branched chain, cyclic or aromatic organic group containing between 1 and 8 carbon atoms. With respect to diacyl compounds, each acyl group may be the same or different. In preferred embodiments, the acyl groups comprise acetyl groups.

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The 3,6-dioxopiperazine-2(S)-acetic acid starting compound in the above synthesis can be prepared in a three-step reaction wherein:

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i) L-aspartic acid is reacted with chloroacetyl-chloride to form chloroacetylaspartic acid;

ii) chl roacetylaspartic acid is reacted with ammonia to form the dipeptide, Gly·Asp; and

5       iii) Gly·Asp is cyclized (by heating) to form the desired diketopiperazine, viz., 3,6-dioxopiperazine-2(S)-acetic acid (cyclic Gly·Asp).

10       Another embodiment of the invention provides a second process for producing aspartame ( $\alpha$ -APM) comprising the steps in the order of:

15       (a) adding one or more acylating agents to an alkyl 3,6-dioxopiperazine-2(S)-acetate compound to form an alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound;

20       (b) adding benzaldehyde to said alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound in the presence of a base to form an alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound;

25       (c) hydrogenating said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound to form an alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound;

30       (d) adding an alcohol under neutral or basic conditions to said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound to produce a mixture including N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester; and

35       (e) adding HCl and CH<sub>3</sub>OH to said mixture produced in step (d);

wherein acyl and diacyl are as defined above; and  
wherein alkyl comprises a straight chain, cyclic, aromatic or  
35       branched chain alkyl group containing between 1 and 7 carbon atoms.

In still another embodiment, instead of conducting step (d) of the above process under neutral or basic conditions to produce a mixture including N-acyl-alpha-L-aspartyl-L-phenylalanine dialkyl ester, the direct conversion of the alkyl  
5 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound to alpha-APM or a salt thereof step can be accomplished under acidic conditions.

10 In particularly preferred embodiments, the mother liquor remaining after the isolation of alpha-APM in either of the above processes is converted to 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid (AP-DKP) or methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate ("MAP-DKP"), which in turn is then  
15 partially hydrolyzed to produce additional alpha-APM. This improves the overall yield of the process.

Accordingly, it is an object of the present invention to provide novel processes for producing alpha-APM and intermediates  
20 necessary to produce alpha-APM.

It is a further object of the present invention to provide novel compounds which are particularly useful as intermediates for the production of alpha-APM.

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These and other objects will be readily apparent to one skilled in the art as reference is made to the detailed description of the preferred embodiment.

### 30 Detailed Description of the Preferred Embodiment

When describing the preferred embodiment, certain terminology will be used for the sake of clarity. The use of such terminology encompasses the recited embodiment, as well as all  
35 technical equivalents which operate in a similar manner for a similar purpose to achieve a similar result.



An overall reaction scheme for forming  $\alpha$ -APM by utilizing a preferred process of the present invention is shown in the following diagram.

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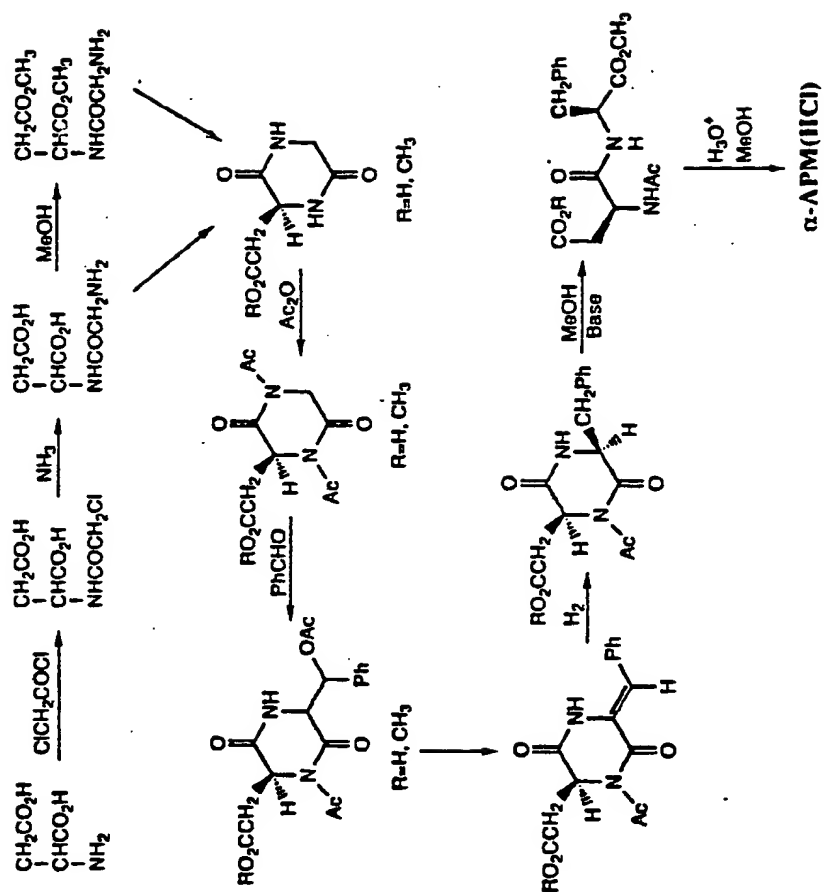
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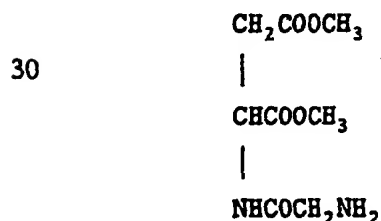
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Referring to the reaction diagram, the l-acyl AP-DKP compounds can be prepared in accordance with the following reaction scheme: L-Aspartic Acid is first reacted with chloroacetylchloride in a solvent such as ethyl acetate to form chloroacetylaspartic acid. Other solvents including methyl acetate, propyl acetate and the like may be selected. The chloroacetylaspartic acid is then reacted with ammonia, typically aqueous ammonia, to produce Gly·Asp, a dipeptide having two carboxyl groups and a single primary amine group. The reaction with ammonia may take place at room temperature, but this requires a relatively long period of time for the reaction to occur; i.e. 12 hours or more. To reduce the reaction time, the ammoniation may take place at higher temperatures. Temperatures may range from about 50 to about 250°C. This in turn, corresponds to a reaction rate of between about three hours and about one second.

The next step in the procedure is to form a second peptide linkage by means of a cyclization reaction. Gly·Asp can itself be cyclized to form 3,6-dioxopiperazine-2(S)-acetic acid (cyclic Gly·Asp). Alternatively, either (or preferably both) of the carboxyl groups in Gly·Asp can be esterified with a lower alkyl alcohol having from one to five carbon atoms (e.g. methyl, ethyl, propyl, iso-butyl and the like) in the presence of an acid (e.g., HCl, H<sub>2</sub>SO<sub>4</sub>) or acid resin before cyclization. Methanol is especially preferred, in which case the acid salt of the following intermediate is formed prior to cyclization:



Other starting materials may be selected for cyclization into the DKP, including the beta-methyl ester of Gly·Asp. Those

skilled in the art will readily appreciate other compounds which may be used as precursors to forming the DKP.

5 Cyclization of Gly·Asp typically occurs by heating the compound. When heating Gly·Asp to form the first DKP (cyclic Gly·Asp), the dipeptide is heated to between about 100 and about 210°C for a time period ranging from about 30 minutes to about 5 hours. It is particularly preferred, although not required, to perform the heating step in the presence of a lower alkyl  
10 carboxylic acid, such as acetic, propionic or pivalic acid. By heating in a lower alkyl carboxylic acid, the temperature of heating may be reduced to between about 100 and about 130 °C and the heating time may be reduced to between about 1 and about 3 hours. Alternatively, other solvents, such as hydrocarbons,  
15 dimethylformamide or dimethyl sulfoxide may be utilized as the heating medium.

Cyclization of the alkyl ester of Gly·Asp occurs by neutralization of the acid salt with a base. The base can take  
20 the form of an organic base, inorganic base, or basic resin.

One or more acylating agents is then added to cyclic Gly·Asp or its alkyl ester to form the novel compounds 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid and alkyl 1,4-diacyl-3,6-  
25 dioxopiperazine-2(S)-acetate. The term "acyl" represents a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms and the term "diacyl" represents two acyl groups which may be the same or different. Examples of acyl  
30 groups include formyl, acetyl, propionyl, benzoyl, and the like. Particularly preferred acyl groups comprise formyl and acetyl groups. The term alkyl represents a straight chain, cyclic, aromatic or branched chain alkyl group having between 1 and 7 carbon at ms.

At least two equivalents of acyl groups must be added per equivalent of DKP. In a preferred embodiment, the acylating agent comprises acetic anhydride such that a 1,4-diacetyl substituted compound is produced or formyl acetic anhydride (mixed anhydride) such that a 1,4-diformyl substituted compound is produced. Other acylating agents well known in the art such as acetyl chloride and ketene may be used in place of acetic anhydride or formyl acetic anhydride. Catalysts such as dimethylaminopyridine or sodium acetate may also be added. The addition of the acylating reagent typically takes place at elevated temperatures, ranging between about 25°C and about 130°C. The acylation reaction may take place in any inert solvent such as ethyl acetate, toluene, isopropyl acetate, xylene, acetic acid and the like. Alternatively, an excess of acylating agent may be provided to additionally function as a reaction solvent. The use of an excess amount of acetic anhydride is one such example.

In the case of cyclic Gly-Asp, it may be desirable to replace the carboxylic hydrogen atom of the carboxymethyl group attached to the number 2 carbon atom with a protective group prior to the acylation reaction to prevent interference from the hydrogen atom during the acylation reaction. Examples of protective groups include trimethylsilyl, tert-butyl and tert-butyl-dimethylsilyl. Other protective groups will be appreciated by those skilled in the art.

In the next step of the reaction sequence, benzaldehyde is added to the 1,4-diacyl-3,6-dioxopiperazine intermediate (free acid or alkyl ester) in the presence of a base to form either of the following classes of novel compounds: 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acids, or alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetates (1-acetyl or 1-formyl compounds are especially preferred). Alkoxide compounds are particularly suitable for use as bases in the above reaction. Specific examples include sodium tert-butoxide, potassium

tert-but xide and sodium tert-amyloxide. The benzaldehyde addition takes place in the presence of an inert solvent such as tetrahydrofuran or tert-butyl alcohol, and preferably at temperatures ranging between about -40°C and about 100°C.

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When producing alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2-(S)-acetates, an intermediate, alkyl 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate is initially produced (acyl preferably is acetyl or formyl). In practice, this intermediate need not be specifically isolated, but rather, the alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate is directly converted to alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate through an alkyl 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate intermediate. While it has not been specifically isolated, the preferred formyl compound is believed to be methyl N-formyl-5-(formyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate. When isolated, conversion of the 5-(acyloxybenzyl) compound is accomplished by adding a base compound to the intermediate followed by heating the mixture and thereafter quenching the compound with an acid. The addition typically takes place in an inert solvent such as cyclohexane. While not specifically tested, it is also believed that a 5-(acyloxybenzyl) intermediate is produced during the benzylidene addition to the free acid, i.e., that 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetic acid is produced.

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In the case of the free acid intermediates, if the carboxylic hydrogen atom of the carboxymethyl group substituted on the number 2 carbon atom has been replaced with a protective group other than lower alkyl, the protective group should be replaced with either a hydrogen atom or an alkyl group (preferably methyl) to return the DKP to the acetic acid or alkyl acetate form. The replacement of the protective group occurs after the benzaldehyde addition.

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In alternative embodiments, the 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid or alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compounds are deacylated by the addition of methanol or other suitable solvents such as water. Upon complete reaction the novel compounds 5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid and alkyl 5-benzylidene-3,6-dioxopiperazine-2(S)-acetate are produced.

The intermediates formed as a result of the foregoing reactions are partially unsaturated. These intermediates can be hydrogenated to form the desired 1-acyl AP-DKP, 1-acyl alkyl-AP-DKP, AP-DKP and alkyl-AP-DKP compounds, systematically named, 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid, alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate, 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid and alkyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate respectively. The conversion can be accomplished by using hydrogen gas or a hydrogen liberating material in the presence of a hydrogenation catalyst. Examples of catalysts which may be used include Pd on a support such as C, BaCO<sub>3</sub>, BaSO<sub>4</sub>, alumina, CaCO<sub>3</sub>, and the like, Pt on a support, Ni catalysts, Cu catalysts, Rh catalysts and soluble metal catalysts. The hydrogenation reaction typically occurs at temperatures ranging from about -20°C to about 150°C. The hydrogenation reaction can take place in a large number of inert solvents which are typically used in hydrogenation reactions, wherein as known in the art solvent selection is largely determined by the catalyst selected. In preferred embodiments, 1-formyl alkyl AP-DKP, 1-alkyl AP-DKP, 1-acetyl AP-DKP or 1-acetyl alkyl AP-DKP is produced.

The AP-DKP, alkyl-AP-DKP, 1-acyl AP-DKP and 1-acyl alkyl-AP-DKP compounds can all easily be converted to  $\alpha$ -APM. To convert any of the above listed DKPs to the acid salt of  $\alpha$ -APM, all that is required is that the DKP be partially hydrolyzed. This typically involves adding methanol and acid, typically HCl to the DKP at elevated temperatures followed by mixing for a period of

time sufficient to enable the  $\alpha$ -APM hydrochloride salt to form. Once formed, the hydrochloride salt is converted to  $\alpha$ -APM by conventional methods such as neutralization and the  $\alpha$ -APM is thereafter isolated from the remainder of the mother liquor. The  
5 partial hydrolysis of DKPs to form  $\alpha$ -APM is described in greater detail in previously cited U.S. Patent Nos. 4,634,790 and 4,897,507.

In an alternative embodiment, instead of using acidic  
10 hydrolysis of the DKP compounds to yield  $\alpha$ -APM, the hydrolysis may be done under basic or neutral conditions. In this embodiment, a straight chain, cyclic, aromatic or branched chain alcohol containing between 1 and 7 carbon atoms, preferably  
methanol, is added to an alkyl 1-acyl-5(S)-benzyl-3,6-  
15 dioxopiperazine-2(S)-acetate compound under basic or neutral conditions to produce a N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound, preferably N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester or N-formyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester. The "alkyl" groups of these  
20 "dialkyl" compounds may be the same or different and comprise straight or branched chain groups containing between 1 and 7 carbon atoms. These N-acyl compounds are considered to be novel.

In practice, the pH of this hydrolysis reaction is maintained  
25 between about 6.5 and about 14, preferably between about 7.0 and about 8.5 by adding a base with the alcohol at temperatures ranging from about -20°C to about 120°C, preferably from about 20°C to about 60°C for a time period ranging from about 1 minute to about 10 days, preferably from about 15 minutes to about 24  
30 hours. Preferred bases which may be added include: sodium acetate, sodium carbonate, sodium bicarbonate, sodium hydroxide, lithium hydroxide, ammonia, organic amine bases and potassium bases. For example, the addition of 0.25 equivalents of sodium acetate per equivalent of alkyl 1-acyl-5(S)-benzyl-3,6-  
35 dioxopiperazine-2(S)-acetate yields satisfactory results.



In practice, in addition to the formation of the N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound, an amount of methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate (MDKP) is also formed. In practice, the ratio of the two  
5 compounds formed ranges from 50:50 to 67:33, with a ratio of 65:35 having actually been produced. The high relative yield of the N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound is considered surprising as cleavage of the imide functional group can occur at either of the carbonyls, resulting in either  
10 deacylation to MDKP or ring-opening to N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester. Under acidic conditions, deacylation is the exclusive reaction. The observed selectivity under basic or neutral conditions is surprising, as steric arguments would suggest deacylation would be preferred.  
15 Arguments based on electronic effects would predict only modest differences between the two carbonyls.

Further hydrolysis of these two compounds by utilizing methanol, an acid, preferably HCl, and optionally water yields a  
20 mixture of methyl  $\alpha$ -L-aspartyl-L-phenylalanine methyl ester (MAPM (desired)) and methyl  $\alpha$ -L-phenylalanine-L-aspartyl methyl ester (PAMM (not desired)) in a high ratio of desired to undesired product. For example, a final ratio of 90:10 MAPM to PAMM can be produced using the above process steps. The MAPM can easily be  
25 converted to  $\alpha$ -APM using known techniques, namely adding additional methanol, H<sub>2</sub>O and acid to the MAPM.

The above processes are capable of yielding up to about 80% of  $\alpha$ -APM based upon the amount of initial DKP synthesized. After  
30 isolation of  $\alpha$ -APM from the mother liquor, the remainder of the mother liquor contains quantities of Asp, Phe, Asp·Phe, Phe·Asp and their methyl esters (as well as an amount of nonisolated APM(HCl)). To convert these materials to AP-DKP or MAP-DKP (methyl ester of AP-DKP) so that additional  $\alpha$ -APM can be  
35 synthesized, the pH of the mother liquor is adjusted, typically to between about 1.5 and 6.0, and the liquor is heated to between

about 40°C and about 110°C until the dipeptides and their methyl esters cyclize. The DKPs are isolated by cooling and filtering.

5 To improve the conversion of the dipeptides in the mother liquor to AP-DKP or MAP-DKP, the cyclization reaction may take place in the presence of an optional co-solvent. One suitable co-solvent is acetic acid. Other co-solvents may include formic acid, propanoic acid, other carboxylic acids and other inert solvents. When a co-solvent is employed, to isolate the DKP the  
10 co-solvent is optionally stripped from the mixture, and the liquor is cooled and filtered.

Once isolated, the AP-DKP and/or MAP-DKP is converted to  $\alpha$ -APM by partial hydrolysis and neutralization as described  
15 above. The re-conversion of the mother liquor can be repeated as often as desired by using this procedure. As a result of the additional  $\alpha$ -APM obtained from the mother liquor, overall yields of  $\alpha$ -APM as high as 90% can be achieved.

20 The invention is further described by the following non-limiting examples.

EXAMPLE 1 -- Chloroacetylation of L-Asp by direct acylation

25 53.24 grams of L-Asp, 32 ml of chloroacetyl chloride and 400 ml of ethyl acetate were heated with stirring to reflux in a 1 liter 3 neck round bottom flask equipped with a condenser. The reaction mixture was refluxed for 24 hrs, forming a slurry. The slurry was cooled to 25 °C and filtered, and the  
30 filter cake was washed with 50 ml of ethyl acetate. The cake was dried and the ethyl acetate was removed by vacuum distillation at 40-50 °C. 85 ml of H<sub>2</sub>O were added to the solid cake and the solution was stirred and allowed to stand overnight. The water was removed from the solution to  
35 liberate a semi-crystalline residue. The residue was stirred with 300 ml of ethyl ether, and was filtered, rinsed and

dried to liberate the final product. Air drying afforded 66.95 grams of chloroacetyl aspartic acid, or 80% of theoretical yield.

5     EXAMPLE 2 -- Formation of Gly·Asp from chloroacetylaspartic acid

5.0 grams of chloroacetylaspartic acid were stirred in 28% aqueous  $\text{NH}_4\text{OH}$  as a clear solution at room temperature for 24 hours. After this time, the  $\text{NH}_3$  and  $\text{H}_2\text{O}$  were removed by  
10     heating under vacuum to 50 °C. 20 ml of water were added to provide a clear solution, followed by the addition of 20 ml of acetic acid. The solution was diluted while stirring with 100 ml methanol to liberate a crystalline precipitate of Gly·Asp. The yield of the air dried product was nearly  
15     quantitative based on theoretical yield. The amount of  $\text{NH}_4^+$  present was 0.12%. HPLC analysis confirmed that Gly·Asp had been formed.

20     EXAMPLE 2A -- Formation of Gly·Asp using high temperature ammonation step

A slurry of 39.9 g of L-Asp in 300 mL of isopropyl acetate was heated to reflux, and 9.6 mL of chloroacetyl chloride were added. The slurry was refluxed with a nitrogen purge  
25     for 4 hours, filtered while hot, and the filtrate was stripped under reduced pressure to yield 19.75g of a white solid. 10g of this material was dissolved in 30mL of water, stirred for 2 hours, and added to 170mL of 28% aqueous ammonia ( $\text{NH}_4\text{OH}$ ). This solution was heated in a pressure  
30     vessel to 105°C for 10 minutes, was immediately cooled to 75°C, and then further cooled with venting to 45°C. The solution was concentrated under reduced pressure, and water was added to produce a total weight of 30g. The pH of the solution was adjusted with 12 N HCl to 3.0, and the solution  
35     was added to refluxing methanol over a 30 minute period. After cooling overnight, the product was collected by

filtration and dried. The yield of Gly·Asp based on chloroacetyl chloride was 57%.

EXAMPLE 2B -- Formation of Gly·Asp from chloroacetylaspartic acid

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A slurry of 213 g of L-Aspartic Acid in 800 mL of isopropyl acetate was heated to reflux, and treated with 63 mL of chloroacetyl chloride. The slurry was refluxed for 3.5 hours, filtered, and the filtrate was stripped under reduced pressure. The residue was treated with 200 mL of isopropyl acetate and 84 mL water, stirred to dissolution, and the aqueous layer was removed. The organic layer was extracted 4 times with 42 mL water per extraction, and the combined aqueous layers were treated with 2.00 l of 28 % aqueous ammonia. After standing overnight, the solution was stripped under reduced pressure, and treated with 12 N HCl to a pH of 3.45. Approximately half of this material was used for crystallization. The aqueous concentrate was added dropwise over 30 minutes to 1.00 l of methanol, stirred for 65 hours, chilled to 10°C, and the product was collected by filtration. The yield of Gly·Asp was 60 %, based on chloroacetyl chloride.

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EXAMPLE 3 -- Cyclization of Gly·Asp to cyclic Gly·Asp

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Three different methods were used to cyclize Gly·Asp.

Method (a)

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1.00 gram of Gly·Asp and 20 ml of propionic acid were stirred at reflux (128 °C) for 2 hr, whereupon the solution became clear. The solvent was removed to yield c-Gly·Asp. The yield was 83%.

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Method (b)

5 0.10 gram of Gly·Asp was heated in a tube at 150-160°C under a vacuum of 1 mm Hg for 30 minutes. The yield of c-Gly·Asp was 57%.

Method (c)

10 1.00 gram of Gly·Asp and 10 ml of dimethyl sulfoxide were heated to 120 °C for 3 hours, during which the initially colorless solution turned orange. The solvent was removed under a stream of N<sub>2</sub> and pumped at 0.1 mm Hg vacuum overnight. The product was semi-crystalline and was recovered in a yield of 90%.

EXAMPLE 4 -- Formation of dimethyl ester of Gly·Asp

15 A solution of 0.5 grams of Gly·Asp in 10 ml of 10% HCl in methanol was stirred at ambient temperature for 16 hours.  
20 The solvent was stripped under reduced pressure to yield the hydrochloride salt of Gly·Asp dimethyl ester in essentially quantitative amounts.

EXAMPLE 5 -- Formation of methyl 3,6-dioxopiperazine-2(S)-acetate

25 A solution of crude Gly·Asp dimethyl ester was prepared from 0.5 g of Gly·Asp. The crude product was stripped under reduced pressure, redissolved in 10 ml of methanol, and treated with a strong base resin to a pH of 8.4. The solution  
30 was filtered, allowed to stand at room temperature for 24 hours, and stripped to yield 0.31 g of methyl 3,6-dioxopiperazine-2(S)-acetate.

EXAMPLE 5A -- Formation of methyl 3,6-dioxopiperazine-2(S)-acetate

5 A slurry of 75mL Dowex MSC-1 resin (MeOH washed) in 200mL methanol was treated with 9.7g Gly·Asp, and heated to reflux. After 3 hours at reflux, the temperature was reduced to 60°C, and the slurry was treated with 28% aqueous ammonia (NH<sub>4</sub>OH) to a pH of 8.5. After an additional 1.5 hours at 60°C the resin was filtered off, washed, and the filtrate was stripped  
10 under reduced pressure to yield methyl 3,6-dioxopiperazine-2(S)-acetate. The yield was 98%.

EXAMPLE 5B -- Formation of methyl 3,6-dioxopiperazine-2(S)-acetate

15 A solution of 5.0 grams of Gly·Asp and 3.1 grams of H<sub>2</sub>SO<sub>4</sub> in 100ml of methanol was stirred at 60°C for 5.5 hours. The solution was cooled to ambient temperature and passed down a 75ml weak base resin column. The column was washed with  
20 methanol and the wash liquor was combined with the solution. The pH of the solution was adjusted to 8.5 by adding methanolic ammonia. After heating at 60°C for 5 hours, the solution was cooled to ambient temperature and stripped under reduced pressure to yield a white solid containing 4.41 grams  
25 of methyl 3,6-dioxopiperazine-2(S)-acetate. The yield was 90%.

EXAMPLE 6 -- Formation of 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetic acid

30 172 mg (1 mmol) of cyclic Gly·Asp was slurried in 20 ml of ethyl acetate. 0.566 ml (6 mmol) of acetic anhydride and 4 mg of dimethylaminopyridine (DMAP) as a catalyst were added to the slurry and the mixture was heated to reflux for 25 hours  
35 and cooled to room temperature. The mixture was washed with water, dried with MgSO<sub>4</sub> and concentrated under vacuum to

yield 121 mg of 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetic acid. The yield was 47%.

EXAMPLE 7 -- Formation of methyl 1,4-diacetyl-3,6-  
5 dioxopiperazine-2(S)-acetate

A slurry of 372 mg (2 mmol) of methyl 3,6-dioxopiperazine-  
2(S)-acetate in 10 ml of acetic anhydride was heated to above  
50°C. The reaction mixture was stirred at this temperature  
10 until the reaction was completed and the product was then  
concentrated to afford an essentially quantitative yield of  
methyl 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate.

EXAMPLE 7A -- Formation of methyl 1,4-diacetyl-3,6-  
15 dioxopiperazine-2(S)-acetate

A solution of 100g methyl 3,6-dioxopiperazine-2(S)-acetate in  
1300mL of acetic anhydride was heated at 100°C for 7 hours,  
and then stripped under reduced pressure. The residue was  
20 dissolved in 1000mL of butyl acetate, filtered, washed with a  
pH 6.3 buffer, dried over sodium sulfate, and stripped again  
under reduced pressure to yield methyl  
1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate as an oil. The  
yield was 96%.

25

EXAMPLE 8 -- Formation of methyl 1-acetyl-5-benzylidene-3,6-  
dioxopiperazine-2(S)-acetate

To a solution of 2.15 g of methyl-1,4-diacetyl-3,6-  
30 dioxopiperazine-2(S) acetate and 0.845 g of benzaldehyde in  
20 mL of THF at 5 deg C was added 0.964 g of sodium  
tert-pentoxide. The slurry was stirred at 5 deg C for 15  
minutes and then allowed to warm to room temperature and  
stirred an additional 7 hours. The mixture was quenched with  
35 acetic acid and poured in water and extracted with methylene  
chloride. The organic layer was dried and concentrated to

afford 80 % of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S) acetate.

EXAMPLE 8A -- Formation of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate

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To a solution of 2.90g (10 mmol) of methyl 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate in 15mL of butyl acetate was added 1.06g (10 mmol) of benzaldehyde. The reaction mixture was cooled to -20°C and 1.05g (11 mmol) of sodium tert-butoxide dissolved in 10mL of cyclohexane was added at -20°C. After addition of the base, the reaction mixture was stirred at -20°C for 1h and .630mL (11 mmol) of acetic acid was then added. The reaction mixture was then heated at 70°C for 10h, cooled to room temperature and washed with water. The organic layer was dried and concentrated to afford 90% of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.

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EXAMPLE 8B -- Formation of methyl 1-acetyl-5-(acetoxymethyl)-3,6-dioxopiperazine-2(S)-acetate

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To a solution of 48.64g (.180 mol) of methyl 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate in 250mL of butyl acetate was added 19.10g (.180 mol) of benzaldehyde. The reaction mixture was cooled to -20°C and 19.03g (.198 mol) of sodium tert-butoxide dissolved in 200mL of cyclohexane was added at 7°C. After addition of the base, the reaction mixture was stirred an additional 1 minute at -20°C and then quenched with 11.3mL (.198 mol) of acetic acid. The reaction mixture was then warmed to room temperature and concentrated to afford 56% of crude methyl 1-acetyl-5-(acetoxymethyl)-3,6-dioxopiperazine-2(S)-acetate. Silica gel chromatography afforded pure material as a glassy solid.

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EXAMPLE 8C -- Formation of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate from methyl 1-acetyl-5-(acetoxymethyl)-3,6-dioxopiperazine-2(S)-acetate

5        To a solution of 1.50g (4 mmol) of methyl 1-acetyl-5-(acetoxymethyl)-3,6-dioxopiperazine-2(S)-acetate in a mixture of 5.5mL of butyl acetate and 4.5mL of cyclohexane was added 0.328g (4 mmol) of sodium acetate. The mixture was heated at 70°C for 24 hours. The crude reaction mixture, after cooling to room temperature and removal of solvents, afforded 74.5% of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.

15        EXAMPLE 9 -- Formation of methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate

20        To a solution of 3.16 g of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S) acetate in 95 mL of methanol was added 1.2 g of 4 % Pd/C, 50 % wet with water. The reaction mixture was placed under 50 psi of hydrogen for 1 hour. The catalyst was filtered off using a celite plug and the resulting filtrate concentrated to afford 85 % of methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S) acetate.

25        PREDICTIVE EXAMPLE 10 -- Formation of 5-benzylidene-3,6-dioxopiperazine-2(S) acetic acid

30        When a solution of 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid in aqueous methanol at a pH of 8 is stirred at room temperature until hydrolysis is complete, and the solvent is removed under reduced pressure, the desired deacetylated product will be obtained.

PREDICTIVE EXAMPLE 11 -- Formation of methyl 5-benzylidene-3,6-dioxopiperazine-2(S)-acetate

5 When a solution of methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate in aqueous methanol at pH of 8 is stirred at room temperature until hydrolysis is complete, and the solvent is removed under reduced pressure the crude desired deacetylated product will be obtained.

10 EXAMPLE 12 -- Production of  $\alpha$ -APM(HCl) from Methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate

15 A solution of 40 ml of 12 N HCl and 121 ml of methanol was heated to 60°C. 16 g of MAP-DKP was added over the course of 10 minutes with stirring. The mixture was stirred another 15 minutes at 60°C, at which time the solid had completely dissolved. The solution was stripped on a roto-vap to a residue weighing 33.3 g. After analysis for HCl, methanol and water, the mixture was made up to the following  
20 concentrations: methanol--3.0%, HCl--13.5%, and water--36.5%. The mixture was shaken at room temperature for seven days and solid APM(HCl) was collected by filtration. The yield of APM(HCl) obtained was 47%.

25 EXAMPLE 13 -- Conversion of Ac-MDKP to MAPM(HCl)

A solution of 2.16 mg/ml. of Ac-MDKP in 10 wt % HCl/methanol was stirred at room temperature for 60 hours, and then analyzed by HPLC for conversion to MAPM.HCl. The yield of  
30 MAPM was 86 %, based on the initial charge of Ac-MDKP.

EXAMPLE 14 -- Conversion of mother liquor to AP-DKP or MAP-DKP

35 (a) A solution of combined mother liquor and wash liquor from conversion of AP-DKP to APM.HCl was used for reconversion to a mixture of DKP/MDKP. This liquor contained 0.577 mM of

peptide per gram of solution. The mixture included Asp, Phe, Asp·Phe, Phe·Asp and methyl esters (8 components). A sample of 15.4 g of liquor was treated with 4.32 g of 30% aqueous ammonia and 15 ml water, to give a solution with pH=4.9.

5 This solution was heated at reflux for 6.5 h, stirred overnight at room temperature, and again refluxed for 4 h. The mixture was chilled to 15°C, and the solid collected by filtration. The yield of DKP/MDKP in the cake (solids) was 49%, while the overall yield (amount present in solids and  
10 liquid) of DKP/MDKP was 53%.

(b) A sample of 15.39 g of the liquor described in Example 6(a) was treated with 4.5 ml of 30% aqueous ammonia to give a solution of pH = 5.2. This solution was treated with 35 ml  
15 of acetic acid, and heated at reflux for 5 hours. The mixture was concentrated under reduced pressure, reslurried in 15 ml water, filtered, and dried. The yield of DKP/MDKP in the cake (solids) was 51%, while the overall yield (amount present in solids and liquid) of DKP/MDKP was 59%.

20

EXAMPLE 15 -- Formation of N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester from Methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate

25 A solution of 1 mmol of methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate in 10 mL of methanol was adjusted to a pH=7.5 with sodium acetate. The reaction mixture was heated at 60 °C for 4 hours and then cooled to room temperature. The mixture was concentrated under reduced  
30 pressure to afford N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester and MDKP in a ratio of 64:36.

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EXAMPLE 16 -- Conversion of methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate to MAPM

5 A solution of 2.50 g of methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate in 75 mL of methanol was treated with 0.163 g of sodium acetate and heated to 60 °C. After 1.5 hours the solution was stripped under reduced pressure to yield a white solid containing a 70/30 ratio of N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester/ methyl  
10 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate. The crude solid was dissolved in 11.5 grams of a 12 % solution of HCl in methanol, and heated for 6 hours at reflux. An additional 2.3 g of HCl/methanol solution was added and heating continued an additional 10 hours. HPLC analysis showed a  
15 mixture of MAPM and PAMM in a ratio of 8.4/1.0.

EXAMPLE 17 -- Formation of methyl 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetate

20 A solution of 15.0 g methyl 3,6-dioxopiperazine-2(S)-acetate in 50 mL of formyl acetic anhydride (mixed anhydride) was heated at 55 °C for 12 hours, and then stripped under reduced pressure. The residue was redissolved in 50 mL of mixed  
25 anhydride and heated again at 55 °C for 12 hours, and then stripped under reduced pressure to yield methyl 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetate as an oil. The yield according to HPLC was 50%.

EXAMPLE 18 -- Formation of methyl 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate

30 To a solution of 2.42 g of methyl 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetate and 1.06 g of benzaldehyde in 15 mL of isopropyl acetate at -20 °C was added 1.06 g of sodium tert-butoxide dissolved in 10 mL of isopropyl acetate.  
35 After addition of the base, the reaction mixture was stirred

at -20 °C for 1h and 114 µl of acetic acid was added. The reaction mixture was then heated at 70 °C for 1h, cooled to room temperature and washed with water. The organic layer was dried and concentrated to afford 50% of methyl  
5 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate according to HPLC.

EXAMPLE 19 -- Formation of methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate

10 To a solution of 100 mg of methyl 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate in 10 mL of tetrahydrofuran was added 35 mg of a 5% palladium on carbon catalyst. The reaction mixture was placed under 50 psi of hydrogen for 3  
15 hours. The catalyst was filtered off using a celite plug and the resulting filtrate was concentrated to afford 87% of methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate according to HPLC.

20 EXAMPLE 20 -- Formation of N-formyl-alpha-L-aspartyl-L-phenylalanine dimethyl ester from methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate

A solution of 5 mg of methyl 1-formyl-5(S)-benzyl-3,6-  
25 dioxopiperazine-2(S)-acetate in 0.5 mL of methanol was adjusted to a pH=8.0 with 10% aqueous sodium carbonate and was heated at 60 °C for 3 hours. The mixture was concentrated under reduced pressure to afford N-formyl-alpha-L-aspartyl-L-phenylalanine dimethyl ester and MDKP in a ratio  
30 of 1:2.3.

What is claimed is:

1. 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid compounds,  
wherein each acyl group, which may be the same or different,  
5 is of the formula  $RC(=O)-$  wherein R represents hydrogen, a  
straight chain, branched chain, cyclic or aromatic group  
containing between 1 and 8 carbon atoms.
2. The compound according to claim 1 which is  
10 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetic acid.
3. The compound according to claim 1 which is 1,4-diformyl-3,6-  
dioxopiperazine-2(S)-acetic acid.
- 15 4. A process for forming 1,4-diacyl-3,6-dioxopiperazine-  
2(S)-acetic acid compounds wherein each acyl group, which may  
be the same or different, is of the formula  $RC(=O)-$  wherein R  
represents hydrogen, a straight chain, branched chain, cyclic  
or aromatic group containing between 1 and 8 carbon atoms  
20 comprising the step of adding one or more acylating agents to  
3,6-dioxopiperazine-2(S)-acetic acid such that two  
equivalents of acyl groups are provided per equivalent of  
3,6-dioxopiperazine-2(S)-acetic acid.
- 25 5. The process according to claim 4 comprising the additional  
step of heating the 3,6-dioxopiperazine-2(S)-acetic acid  
acylating agent mixture to between about 25 °C and 130 °C.
6. The process according to claim 4 wherein said adding step  
30 takes place in the presence of an inert solvent.
7. The process according to claim 5 wherein said acylating agent  
c mprises acetic anhydride and wherein said  
1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid compound  
c mprises 1,4-diacetyl-3,6-dioxopiperazine-  
35 2(S)-acetic acid.

8. The process according to claim 4 wherein said acylating agent comprises formyl acetic anhydride and wherein said 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetic acid compound  
5 comprises 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetic acid.
9. 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid compounds wherein acyl is a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched  
10 chain, cyclic or aromatic group containing between 1 and 8 carbon atoms.
10. The compound according to claim 9 which is 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid.  
15
11. The compound according to claim 9 which is 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid.
12. Alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compounds  
20 wherein each acyl group, which may be the same or different, is of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms and wherein alkyl comprises a straight chain, cyclic, aromatic or branched  
25 chain alkyl group containing between 1 and 7 carbon atoms.
13. The compound according to claim 12 which is methyl 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate.
- 30 14. The compound according to claim 12 which is methyl 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetate.
15. A process for producing alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compounds wherein each acyl  
35 group, which may be the same or different, is of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain,

- branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms and wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms comprising the step of adding one or more acylating agents to an alkyl 3,6-dioxopiperazine-2(S)-acetate compound such that two equivalents of acyl groups are provided per equivalent of alkyl 3,6-dioxopiperazine-2(S)-acetate.
16. The process according to claim 15 comprising the additional step of heating said alkyl 3,6-dioxopiperazine-2(S)-acetate acylating agent mixture to between about 25 °C and 130 °C.
17. The process according to claim 15 wherein said adding step takes place in an inert solvent.
18. The process according to claim 16 wherein said acylating agent comprises acetic anhydride and wherein said alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound comprises methyl 1,4-diacetyl-3,6-dioxopiperazine-2(S)-acetate.
19. The process according to claim 15 wherein said acylating agent comprises formyl acetic anhydride and wherein said alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound comprises methyl 1,4-diformyl-3,6-dioxopiperazine-2(S)-acetate.
20. Alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compounds wherein acyl comprises a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group having between 1 and 8 carbon atoms, and wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms.



21. The compound according to claim 20 which is methyl  
1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.
22. A process for producing alkyl 1-acyl-5-benzylidene-3,6-  
5 dioxopiperazine-2(S)-acetate compounds wherein acyl comprises  
a group of the formula  $RC(=O)-$  wherein R represents hydrogen,  
a straight chain, branched chain, cyclic or aromatic group  
having between 1 and 8 carbon atoms, and wherein alkyl  
10 comprises a straight chain, cyclic, aromatic or branched  
chain alkyl group containing between 1 and 7 carbon atoms  
comprising the step of adding benzaldehyde in the presence of  
a base and an inert solvent to an alkyl 1,4-diacyl-3,6-  
dioxopiperazine-2(S)-acetate compound.
- 15 23. The process according to claim 22 comprising the additional  
step of maintaining the reaction medium at a temperature  
between about  $-40^{\circ}\text{C}$  and about  $100^{\circ}\text{C}$ .
24. The process according to claim 23 wherein said base comprises  
20 an alkoxide compound.
25. The process according to claim 23 wherein said compound  
produced comprises methyl 1-acetyl-5-benzylidene-3,6-  
dioxopiperazine-2(S)-acetate.
- 25 26. Alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate  
compounds wherein acyl comprises a group of the formula  
 $RC(=O)-$  wherein R represents hydrogen, a straight chain,  
branched chain, cyclic or aromatic group having between 1 and  
30 8 carbon atoms, and wherein alkyl comprises a straight chain,  
cyclic, aromatic or branched chain alkyl group containing  
between 1 and 7 carbon atoms.
27. The compound according to claim 26 which is methyl  
35 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.

28. A process for producing alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compounds wherein acyl comprises a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms, and wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms comprising the step of hydrogenating an alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound in an inert solvent.
29. The process according to claim 28 wherein said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound comprises methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate and wherein said compound produced comprises methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.
30. The process according to claim 28 wherein said hydrogenation step comprises contacting said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound with gaseous hydrogen or a hydrogen liberating material in the presence of a hydrogenation catalyst selected from the group consisting of Pd, Pt, Ni, Cu, Rh and soluble metal catalysts.
31. 5-benzylidene-3,6-dioxopiperazine-2(S)-acetic acid.
32. Alkyl 5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compounds wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms.
33. 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetic acid compounds wherein acyl is a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched

chain, cyclic or aromatic group containing between 1 and 8 carbon atoms.

- 5 34. The compound according to claim 33 which is 1-acetyl-5-(acetoxybenzyl)-3,6-dioxopiperazine-2(S)-acetic acid.
- 10 35. Alkyl 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate compounds wherein acyl comprises a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group having between 1 and 8 carbon atoms, and wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms.
- 15 36. The compound according to claim 35 which is methyl 1-acetyl-5-(acetoxybenzyl)-3,6-dioxopiperazine-2(S)-acetate.
- 20 37. A process for producing alkyl 1-acyl-5-(acyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate compounds wherein acyl comprises a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group having between 1 and 8 carbon atoms, and wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms comprising the steps of:
- 25 (a) adding benzaldehyde in the presence of a base and an inert solvent to an alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound;
- 30 (b) quenching the compound with acid; and
- (c) isolating said produced compound.
- 35 38. The process according to claim 37 comprising the additional step of:

(d) adding base to the compound produced in step (c) to produce an alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound.

5

39. The process according to claim 37 wherein said base comprises an alkoxide compound.

10

40. The process according to claim 37 wherein said compound produced comprises methyl 1-acetyl-5-(acetoxymethyl)-3,6-dioxopiperazine-2(S)-acetate.

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41. The process according to claim 38 wherein said compound produced in step (d) comprises methyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.

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42. N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compounds wherein acyl is a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms, alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms and dialkyl comprises two alkyl groups which may be the same or different.

25

43. The compound according to claim 42 which is N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester.

30

44. A process for producing N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compounds comprising the steps of:

35

(a) adding a straight chain, cyclic, aromatic or branched chain alcohol containing between 1 and 7 carbon atoms under basic or neutral conditions to an alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate; and

(b) isolating the N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound produced in step (a);

5 wherein acyl is a group of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group containing between 1 and 8 carbon atoms;

10 alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms; and

dialkyl comprises two alkyl groups which may be the same or different.

15 45. The process according to claim 44 wherein said alcohol comprises methanol, said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate comprises methyl 1-acetyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate and wherein said  
20 N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound comprises N-acetyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester.

25 46. The process according to claim 45 wherein step (a) takes place at a temperature between about 20°C and about 60°C for a time period between about 15 minutes and about 24 hours.

47. The process according to claim 45 wherein step (a) takes place at a pH ranging from about 7.0 to about 8.5.

30 48. The process according to claim 47 wherein the basic or neutral conditions in step (a) are obtained by adding a base selected from the group consisting of sodium acetate, sodium carbonate, sodium bicarbonate, sodium hydroxide, lithium hydroxide, ammonia, organic amine bases and potassium bases  
35 along with said methanol.

49. The process according to claim 45 comprising the additional step of:

5 (c) forming aspartame ( $\alpha$ -APM) or a salt thereof by adding HCl, H<sub>2</sub>O and CH<sub>3</sub>OH to the product obtained in step (b).

50. A process for producing aspartame ( $\alpha$ -APM) or a salt thereof comprising the steps in the order of:

10 (a) adding one or more acylating agents to an alkyl 3,6-dioxopiperazine-2(S)-acetate compound to form an alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound;

15 (b) adding benzaldehyde to said alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound in the presence of a base to form an alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound;

20 (c) hydrogenating said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound to form an alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound; and

25 (d) converting said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound to  $\alpha$ -APM or a salt thereof;

30 wherein each acyl group, which may be the same or different, is of the formula RC(=O)- wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic organic group containing between 1 and 8 carbon atoms; and

35 wherein alkyl comprises a straight chain, cyclic, aromatic or branched chain alkyl group containing between 1 and 7 carbon atoms.

51. The process according to claim 50 comprising the additional steps of:

5 (e) converting the residue of the mother liquor produced in step (d) to 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid or methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate; and

10 (f) partially hydrolyzing said 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid or methyl 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate to  $\alpha$ -APM or a salt thereof.

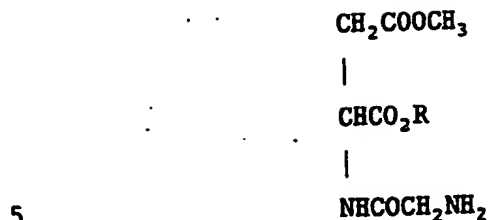
52. The process according to claim 51 wherein step (e) comprises adjusting the pH of said mother liquor to between about 1.5 and about 6.0 and heating said mother liquor to between about 15 40°C and about 110°C.

53. The process according to claim 51 wherein a cosolvent is added to said mother liquor prior to conversion to 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetic acid or methyl 20 5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.

54. The process according to claim 53 wherein said cosolvent is selected from the group consisting of acetic acid, formic acid and propanoic acid. 25

55. The process according to claim 50 wherein said 1,4-diacyl and 1-acyl substituted compounds comprise 1,4-diacetyl and 1-acetyl substituted compounds respectively and wherein said alkyl esters comprise methyl esters. 30

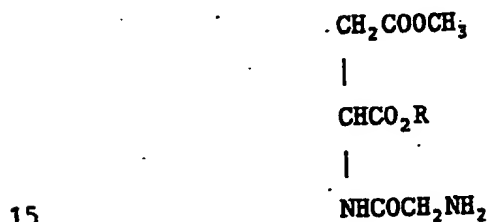
56. The process according to claim 50 wherein said methyl 3,6-dioxopiperazine-2(S)-acetate is formed by cyclizing



wherein R= H or CH<sub>3</sub>.

57. The process according to claim 56 wherein said

10



wherein R= H or CH<sub>3</sub> is formed by reacting Gly·Asp with methanol in the presence of an acid.

20 58. The process according to claim 57 wherein said Gly·Asp is formed by reacting L-Aspartic Acid with chloroacetylchloride to form chloroacetylaspartic acid and subsequently reacting said chloroacetylaspartic acid with ammonia.

25 59. The process according to claim 55 wherein said acylating agent comprises acetic anhydride.

30 60. The process according to claim 50 wherein said 1,4-diacyl and 1-acyl substituted compounds comprise 1,4-diformyl and 1-formyl substituted compounds respectively and wherein said alkyl esters comprise methyl esters.

35 61. The process according to claim 60 wherein said acylating agent comprises formyl acetic anhydride.



- 5 62. The process according to claim 50 wherein said hydrogenating step comprises contacting said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound with gaseous hydrogen or a hydrogen liberating material in the presence of an inert solvent and a hydrogenation catalyst selected from the group consisting of Pd, Pt, Ni, Cu, Rh and soluble metal catalysts.
- 10 63. The process according to claim 50 wherein said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound comprises a methyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound and wherein step (d) comprises partially hydrolyzing said methyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound to form  $\alpha$ -APM or a salt thereof.
- 15 64. The process according to claim 50 wherein step (d) comprises:
- 20 (i) adding a straight chain, cyclic, aromatic or branched chain alcohol having between 1 and 7 carbon atoms under basic or neutral conditions to said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound to produce a mixture including a N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound; and
- 25 (ii) adding HCl, H<sub>2</sub>O and CH<sub>3</sub>OH to said mixture produced in step (i).
- 30 65. The process according to claim 64 wherein step (i) takes place at a temperature between about 20°C and about 60°C for a time period between about 15 minutes and about 24 hours.
- 35 66. The process according to claim 65 wherein step (i) takes place at a pH ranging from about 7.0 to about 8.5.

67. The process according to claim 66 wherein the basic or neutral conditions in step (d) are obtained by adding a base selected from the group consisting of sodium acetate, sodium carbonate, sodium bicarbonate, sodium hydroxide, lithium hydroxide, ammonia, organic amine bases and potassium bases along with said methanol.

68. A process for forming an alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound comprising the steps of:

(a) adding benzaldehyde to an alkyl 1,4-diacyl-3,6-dioxopiperazine-2(S)-acetate compound in the presence of a base to form an alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound; and

(b) hydrogenating said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound to form an alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound;

wherein each acyl group, which may be the same or different, is of the formula  $RC(=O)-$  wherein R represents hydrogen, a straight chain, branched chain, cyclic or aromatic group having between 1 and 8 carbon atoms; and

wherein alkyl comprises a straight chain or branched chain alkyl group containing between 1 and 5 carbon atoms.

69. The process according to claim 68 wherein said 1,4-diacyl substituted compounds and said 1-acyl substituted compounds comprise 1,4-diacetyl and 1-acetyl compounds respectively and wherein said alkyl esters comprise methyl esters.

70. The process according to claim 69 wherein said hydrogenating step comprises contacting said alkyl 1-acetyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound with gaseous

hydrogen or a hydrogen liberating material in the presence of a hydrogenation catalyst selected from the group consisting of Pd, Pt, Ni, Cu, Rh and soluble metal catalysts.

- 5      71. The process according to claim 68 wherein said 1,4-diacyl and 1-acyl substituted compounds comprise 1,4-diformyl and 1-formyl substituted compounds respectively and wherein said alkyl esters comprise methyl esters.
- 10      72. A process for producing Gly·L-Asp comprising the steps of:  
    (a) adding chloroacetylchloride to L-aspartic acid to form a reaction mixture containing chloroacetyl-L-Aspartic acid;  
    (b) adding ammonia to said chloroacetyl-L-aspartic acid; and  
15      (c) heating the reaction mixture produced in step (b) to between about 50°C and about 250°C for a time period sufficient to form Gly·L-Asp.
- 20      73. The process according to claim 72 wherein said time period in step (c) ranges from about 1 second to about 3 hours.
- 25      74. The compound according to claim 20 which is methyl 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.
75. The process according to claim 22 wherein said compound produced comprises methyl 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate.
- 30      76. The compound according to claim 26 which is methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.
- 35      77. The process according to claim 28 wherein said alkyl 1-acyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate compound comprises methyl 1-formyl-5-benzylidene-3,6-dioxopiperazine-2(S)-acetate and wherein said compound

produced comprises methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate.

- 5 78. The compound according to claim 42 which is N-formyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester.
- 10 79. The process according to claim 44 wherein said alcohol comprises methanol, said alkyl 1-acyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate compound comprises methyl 1-formyl-5(S)-benzyl-3,6-dioxopiperazine-2(S)-acetate and wherein said N-acyl- $\alpha$ -L-aspartyl-L-phenylalanine dialkyl ester compound comprises N-formyl- $\alpha$ -L-aspartyl-L-phenylalanine dimethyl ester.
- 15 80. The compound according to claim 33 which is N-formyl-5-(formyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetic acid.
- 20 81. The compound according to claim 35 which is methyl N-formyl-5-(formyloxybenzyl)-3,6-dioxopiperazine-2(S)-acetate.
- 25 82. The process according to claim 37 wherein said compound produced comprises methyl N-formyl-5-(formyloxybenzyl)-3,6-diketopiperazine-2(S)-acetate.
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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/01646

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): C07C 227/00, 229/08; C07D 241/08		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S. CL.	544/385; 560/40,41,171	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>CHEMICAL ABSTRACTS ONLINE STRUCTURE SEARCH</b>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>1</sup></b>		
Category <sup>2</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US, A, 3,775,332 Published, 27 November 1973. HEINS ET AL. See entire document	1-41,68-71 74-76,80-82
X	US, A, 4,638,081, Published 20 January 1987 ELEFANTE. See col.2, line 56 to col. 8, line 26 and the working examples.	42,43,78
X	US, A, 4,673,744, Published 16 June 1987 HISAMITSU, ET AL. See col.1, compound No.3.	31,32
A	US, A, 4,677,220, Published 30 June 1987 TOU, ET AL. See entire document.	42,43,72,73
X	US, A, 4,684,745, Published 04 August 1987 TAKEMOTO, ET AL. See col.1, lines 40-48 and examples.	42,43,72,73
A	US, A, 4,634,790, Published 06 January 1987 SHINOHARA, ET AL. See entire document.	44-67,79
X	US, A, 4,760,164, Published 26 July 1988 PARK, ET AL. See entire document.	42,43,72,73
Y	US, A, 4,780,561, Published 25 October 1988 MITA, ET AL. See entire document.	1-82
P	US, A, 4,992,552, Published 12 February 1991 HUBBS, ET AL. See entire document.	1-82
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> * Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
21 AUGUST 1991	28 AUG 1991	
International Searching Authority	Signature of Authorized Officer	
ISA/US	JAMES H. TURNIPSEED INTERNATIONAL DIVISION	